



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	11	Attorney Docket Number	021737-000720US
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Complete if Known

Application Number	09/997,269
Filing Date	November 27, 2001
First Named Inventor	Grainger, Jeffry J.
Art Unit	2165
Examiner Name	Abel Jalil, Neveen

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/PD/	A1	US-5,031,214	07-09-1991	Dziewit et al.	
	A2	US-5,247,661	09-21-1993	Hager et al.	
	A3	US-5,276,869	01-04-1994	Forrest et al.	
	A4	US-5,317,683	05-31-1994	Hager et al.	
	A5	US-5,377,355	12-27-1994	Hager et al.	
	A6	US-5,418,908	05-23-1995	Keller et al.	
	A7	US-5,446,880	08-29-1995	Balgeman et al.	
	A8	US-5,485,605	01-16-1996	Johnson et al.	
	A9	US-5,553,289	09-03-1996	Johnson et al.	
	A10	US-5,619,555	04-08-1997	Fenton et al.	
	A11	US-5,628,004	05-06-1997	Gormley et al.	
	A12	US-5,649,117	07-15-1997	Landry	
	A13	US-5,659,676	08-19-1997	Redpath	
	A14	US-5,717,863	02-10-1998	Adamson et al.	
	A15	US-5,745,901	04-28-1998	Entner et al.	
	A16	US-5,758,126	05-26-1998	Daniels et al.	
	A17	US-5,764,898	06-19-1998	Tsuji et al.	
	A18	US-5,774,866	06-30-1998	Horwitz et al.	
	A19	US-5,778,398	07-07-1998	Nagashima et al.	
	A20	US-5,781,901	07-14-1998	Kuzma	
	A21	US-5,794,236	08-11-1998	Mehrle	
	A22	US-5,797,001	08-18-1998	Augenbraun et al.	
	A23	US-5,802,518	09-01-1998	Karaev et al.	
	A24	US-5,806,057	09-08-1998	Gormley et al.	
	A25	US-5,809,242	09-15-1998	Shaw et al.	
	A26	US-5,812,795	09-22-1998	Horovitz et al.	
	A27	US-5,819,271	10-06-1998	Mahoney et al.	
	A28	US-5,832,211	11-03-1998	Blakley, III et al.	
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	A31	US-5,857,181	01-05-1999	Augenbraun et al.	
	A32	US-5,862,323	01-19-1999	Blakely, III et al.	
	A33	US-5,864,871	01-26-1999	Kitain et al.	
	A34	US-5,870,089	02-09-1999	Fabbio et al.	
	A35	US-5,874,953	02-23-1999	Webster et al.	

Examiner Signature	/Patrick Darno/	Date Considered	01/21/2009
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Sheet 2

of 11

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Application Number	09/997,269
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First Named Inventor	Grainger, Jeffry J.
Art Unit	2165
Examiner Name	Abel Jalil, Neveen
Attorney Docket Number	021737-000720US

U.S. PATENT DOCUMENTS

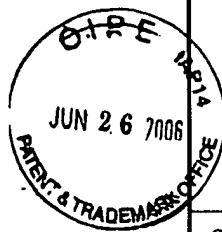
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/PD/	A36	US-5,878,230	03-02-1999	Weber et al.	
	A37	US-5,903,881	05-11-1999	Schrader et al.	
	A38	US-5,907,835	05-25-1999	Yokomizo et al.	
	A39	US-5,950,174	09-07-1999	Brendzel	
	A40	US-5,956,034	09-21-1999	Sachs et al.	
	A41	US-5,982,898	11-09-1999	Hsu et al.	
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	A43	US-6,006,242	12-21-1999	Poole et al.	
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	A45	US-6,014,135	01-11-2000	Fernandes	
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	A47	US-6,032,124	02-29-2000	Saito et al.	
	A48	US-6,040,920	03-21-2000	Ichiriki	
	A49	US-6,043,816	03-28-2000	Williams et al.	
	A50	US-6,047,315	04-04-2000	Tsuji et al.	
	A51	US-6,064,976	05-16-2000	Tolopka	
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	A65	US-2002-0072920 A1	06-13-2002	Grainger	
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	A67	US-2002-0111824 A1	08-15-2002	Grainger	
	A68	US-2002-0111953 A1	08-15-2002	Snyder	
	A69	US-6,442,549 B1	08-27-2002	Schneider	
	A70	US-2002-0161733 A1	10-31-2002	Grainger	

Examiner Signature	/Patrick Darno/	Date Considered	01/21/2009
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Sheet **3**of **11**

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First Named Inventor	Grainger, Jeffry J.
Art Unit	2165
Examiner Name	Abel Jalil, Neveen
Attorney Docket Number	021737-000720US

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/PD/	A71	US-6,484,149 B1	11-19-2002	Jammes et al.	
	A72	US-6,489,980 B1	12-03-2002	Scott et al.	
	A73	US-6,499,026 B1	12-24-2002	Rivette et al.	
	A74	US-6,584,466 B1	06-24-2003	Serbinis	
	A75	US-6,591,289 B1	07-08-2003	Britton, Larry W	
	A76	US-6,652,178 B2	11-25-2003	Walton	
	A77	US-6,694,315 B1	02-17-2004	Grow	
	A78	US 2004-0158587 A1	08-12-2004	Shay et al.	
	A79	US-2004-0181427 A1	09-16-2004	Stobbs et al.	
	A80	US-6,859,806 B1	02-22-2005	Kamarei et al.	
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	A82	US-6,877,137 B1	04-05-2005	Rivette et al.	
↓	A83	US-6,886,025 B1	04-26-2005	Britton, Larry W	
	A84	US-6,918,082 B1	07-12-2005	Gross et al.	

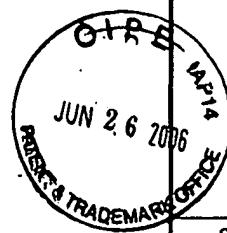
FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
/PD/	B1	EP	04/95983	A1	7-29-1992	Horikawa et al.		
↓	B2	WO	98/26343	A2/A3	06-18-1998	Petruzzi et al.		
	B3	WO	01/24035	A2	04-05-2001	Knowledge Management Objects, L.L.C.		
	B4	WO	02/05150	A2	01-17-2002	International Business Machines Corporation		
↓	B5	WO	02/37304	A2	05-10-2002	SAP Aktiengesellschaft		

Examiner Signature	/Patrick Darno/	Date Considered	01/21/2009
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Substitute for form 1449B/PTO				<i>Complete if Known</i>	
				<i>Application Number</i>	09/997,269
				<i>Filing Date</i>	November 27, 2001
				<i>First Named Inventor</i>	Grainger, Jeffry J.
				<i>Art Unit</i>	2165
				<i>Examiner Name</i>	Abel Jalil, Neveen
Sheet	4	of	11	<i>Attorney Docket Number</i>	021737-000720US

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
/PD/	C1	Bambridge, John, "A Java Application Framework For Support Staff And epoline (MADRAS)," Draft v1.0, pp. 1-6, 4-30-2004			<input type="checkbox"/>
	C2	Chartrand, Sabra, "Patents, The Process Of Filing An Application Is Slowly Catching Up With The Technology Available," The New York Times, 3 pages, January 26, 1998			<input type="checkbox"/>
	C3	Computer Patent Annulities, "CPA Direct," http://www.cpajersey.com/cpajersey/welcome.htm , 18 pages, June 14, 2001			<input type="checkbox"/>
	C4	Computer Patent Annulities, "Memotech Interface Systeme: IP Management Software Services From CPA," http://www.cpajersey.com/cpajersey/mis1.htm , 1 page, June 14, 2001			<input type="checkbox"/>
	C5	Computer Patent Annulities, "Memotech Interface Systeme: IP Suite Features," http://www.cpajersey.com/cpajersey/mis2.htm , 3 pages, June 14, 2001			<input type="checkbox"/>
	C6	Computer Patent Annulities, "Memotech Interface Systeme: Technical Specifications," http://www.cpajersey.com/cpajersey/mis3.htm , 2 pages, June 14, 2001			<input type="checkbox"/>
	C7	Computer Patent Annulities, "Memotech IP Suite Features," http://www.cpajersey.com/cpajersey/mis2.htm , 2 pages, July 15, 2002			<input type="checkbox"/>
	C8	Computer Patent Annulities, "Renewal Services," http://www.cpajersey.com/cpajersey/patent1.html , 3 pages, July 15, 2002			<input type="checkbox"/>
	C9	Computer Patent Annulities, "Welcome to CPA," http://www.cpajersey.com/cpajersey/maincombo.htm , 1 page, downloaded November 9, 2001			<input type="checkbox"/>
	C10	CPI Computer Packages Inc., "CPI News," 4 pages, Spring 2001			<input type="checkbox"/>
	C11	CPI Computer Packages Inc., "CPI News," 4 pages, Fall 2001			<input type="checkbox"/>
↓	C12	CPI Computer Packages Inc., "Leadership For The Best Ideas In The World," http://www.computerpackages.com , 1 page, 2001			<input type="checkbox"/>
Examiner Signature	/Patrick Darno/			Date Considered	01/21/2009

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Sheet

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of

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Complete if Known	
Application Number	09/997,269
Filing Date	November 27, 2001
First Named Inventor	Grainger, Jeffry J.
Art Unit	2165
Examiner Name	Abel Jalil, Neveen
Attorney Docket Number	021737-000720US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/PD/	C13	CPI Computer Packages Inc., "Patent Annuity Payment Service," http://www.computerpackages.com/annuity.asp , 1 page, 2001	<input type="checkbox"/>
	C14	CPI Computer Packages Inc., "Patent Information Services," http://www.patentinfoserv.com/ , 1 page, downloaded November 9, 2001	<input type="checkbox"/>
	C15	CPI Computer Packages Inc., "Patent System," http://www.computerpackages.com/patent.asp , 2 pages, 2001	<input type="checkbox"/>
	C16	CPI Computer Packages Inc., "Products And Services," http://www.computerpackages.com/products.asp , 1 page, 2001	<input type="checkbox"/>
	C17	CPI Computer Packages Inc., "Trademark System," http://www.computerpackages.com/trademark.asp , 2 pages, 2001	<input type="checkbox"/>
	C18	CPI Computer Packages Inc., "What's New," http://www.computerpackages.com/whatsnew.asp , 2 pages, 2001	<input type="checkbox"/>
	C19	European Patent Office, "Technical Report On Patent Information Activities In 1999," 37 pages, no date	<input type="checkbox"/>
	C20	Executive Data Systems, "Legal Automation News," Issue 19.1, pp. 1-4, 1997	<input type="checkbox"/>
	C21	Executive Data Systems, "Legal Automation Newsletter," Vol. 20, Issue 1, pp. 1-6, 1999	<input type="checkbox"/>
	C22	Figura, S.Z. et al. "The Online Pathfinders", govexec.com , Dec 1, 2000, 11 pages	<input type="checkbox"/>
	C23	FirstUse.com, "Digital Audit System," http://www.firstuse.com , 1 page, 2000	<input type="checkbox"/>
	C24	FirstUse.com, "Digital Audit System, Press Kit, Fact Sheet," http://www.firstuse.com/press/presskit/index.htm , 2 pages, 2000	<input type="checkbox"/>
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/PD/	C25	FirstUse.com, "Digital Audit System, Digital Audit Center, How-It-Works," http://www.firstuse.com/center/howitworks/index.htm , 3 pages, 2000	<input type="checkbox"/>
	C26	FirstUse.com, "Digital Audit System, Welcome To FirstUse.com," http://www.firstuse.com/company/index.htm , 3 pages, 2000	<input type="checkbox"/>
	C27	Grainger, J.J. "Specification of U.S. Provisional Patent Application 60/253,360", 27 Nov, 2000, 59 pages	<input type="checkbox"/>
	C28	Grainger, J.J. and J.R. Shay "Specification of U.S. Provisional Patent Application 60/309,244", July 31, 2002, 19 pages	<input type="checkbox"/>
	C29	"Introduction to Coeus", Massachusetts Institute of Technology, Office of Sponsored Programs, October 2002, 32 pages	<input type="checkbox"/>
	C30	Intuit Inc., "E-Filing Completely Paperless With Quicken TurboTax; Now Even Easier To Get A Tax Refund Fast," http://www.intuit.com/company/press_releases/2001/01-12c.html , 2 pages, 2001	<input type="checkbox"/>
	C31	Intuit Inc., "Electronic Filing Software: Free With ProSeries/1040 And Individual States," http://www.proseries.com/efiling/index.shtml , 2 pages, 2001	<input type="checkbox"/>
	C32	Intuit Inc., "TurboTax Premier For Tax Year 2001," http://www.shopintuit.com/qstore/ProdInfo.asp?BaseCode=TPRW2001& , 6 pages, 2001	<input type="checkbox"/>
	C33	IRS, "Electronic Services," http://www.irs.gov/plain/elect_svs/index.html , 2 pages, March 4, 2001	<input type="checkbox"/>
	C34	IRS, "Electronic Services - Approved IRS E-File For Business Providers," http://www.irs.gov/plain/elect_svs/abp.html , 3 pages, September 25, 2001	<input type="checkbox"/>
	C35	IRS, "Electronic Services - IRS E-File For Businesses," http://www.irs.gov/plain/elect_svs/efile-bus.html , 2 pages, March 21, 2001	<input type="checkbox"/>
	C36	IRS, "Electronic Services - IRS E-File For Individual Taxpayers," http://www.irs.gov/plain/elect_svs/efile-ind.html , 2 pages, October 11, 2001	<input type="checkbox"/>

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/PD/	C37	Larkey, Leah S., "A Patent Search And Classification System," ACM, pp. 179-187, 1999	<input type="checkbox"/>
	C38	LegalStar, "IP Legal Dock Pro Document Management Software For IP Law," 5 pages, 1999	<input type="checkbox"/>
	C39	MADRAS, Figures 1-4, 4 pages, no date	<input type="checkbox"/>
	C40	MADRAS, Screen Shots, 4 pages, no date	<input type="checkbox"/>
	C41	MIT "Coeus – Proposal Development", help page, downloaded from web mit.edu/coeus/www/help_pages/submit-prop.html, Mar 4 2004, 3 pages	<input type="checkbox"/>
	C42	PatentCafe.com, Inc., "IPSearchEngine," http://www.ipsearchengine.com/index.asp?, 32 pages, 2001	<input type="checkbox"/>
	C43	PatentWizard, LLC, http://www.patentwizard.com/, 3 pages, 2001	<input type="checkbox"/>
	C44	PatentWizard, LLC, "About PatentWizard," http://www.patentwizard.com/htmls/about.htm, 6 pages, 2001	<input type="checkbox"/>
	C45	PCT Easy, "FAQ," http://pcteasy.wipo.int/en/help/faq.htm, 7 pages, downloaded November 5, 2001	<input type="checkbox"/>
	C46	PerfectLaw Software, "About T+A73he Company," http://www.perfectlaw.com/aboutthe.htm, 2 pages, May 4, 2001	<input type="checkbox"/>
	C47	PerfectLaw Software, "Back Office Software," http://www.perfectlaw.com/Backoff.htm, 1 page, downloaded November 5, 2001	<input type="checkbox"/>
	C48	PerfectLaw Software, "Case & Matter Management - Custom Information Fields," http://www.perfectlaw.com/customtabs.htm, 1 page, downloaded November 5, 2001	<input type="checkbox"/>

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/PD/	C49	PerfectLaw Software, "Case & Matter Management - Docket Scheduling," http://www.perfectlaw.com/docketsch , 2 pages, downloaded November 5, 2001	<input type="checkbox"/>
	C50	PerfectLaw Software, "Case & Matter Management - Documents Processing," http://www.perfectlaw.com/docprocessing.htm , 1 page, downloaded November 5, 2001	<input type="checkbox"/>
	C51	PerfectLaw Software, "DocPro," http://www.perfectlaw.com/docpro.htm , 7 pages, downloaded November 5, 2001	<input type="checkbox"/>
	C52	PerfectLaw Software, "Front Office Software," http://www.perfectlaw.com/frontoff.htm , 2 pages, May 18, 2000	<input type="checkbox"/>
	C53	PerfectLaw Software, "Scheduling And Time Management - Printing Daily/Weekly/Monthly Calendars," http://www.perfectlaw.com/printing.htm , 2 pages, downloaded November 5, 2001	<input type="checkbox"/>
	C54	PerfectLaw Software, "Scheduling And Time Management - Reminders And Alarms," http://www.perfectlaw.com/reminders.htm , 3 pages, downloaded November 5, 2001	<input type="checkbox"/>
	C55	Rice University "Rice University's Office of Technology Transfer Launches New On-Line Application from to Disclose New Inventions", Press Release, Jan 15, 2003, 2 pages	<input type="checkbox"/>
	C56	Shiang, R. "Software Reviews: GrantSlam 4.0.4", Biotec Software & Internet Report, Vol. 2, No. 3, 2001 pp 99-105	<input type="checkbox"/>
	C57	Tichy, Walter F., "Design, Implementation, and Evaluation Of A Revision Control System," IEEE, pp. 58-67, 1982	<input type="checkbox"/>
	C58	United States Patent and Trademark Office, eDAN, 4 pages, no date	<input type="checkbox"/>
	C59	United States Patent and Trademark Office, EAST, Figures 1-4, 4 pages, no date	<input type="checkbox"/>
V	C60	United States Patent and Trademark Office, EAST, Figures 1-4 and Introduction, 6 pages, no date	<input type="checkbox"/>

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

9

of

11

Complete if Known

Application Number	09/997,269
Filing Date	November 27, 2001
First Named Inventor	Grainger, Jeffry J.
Art Unit	2165
Examiner Name	Abel Jalil, Neveen

Attorney Docket Number 021737-000720US

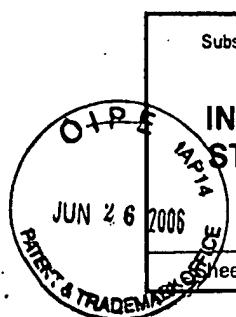
NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/PD/	C61	United States Patent and Trademark Office, "Electronic Filing System -Submission Manual ePAVE," http://www.uspto.gov/ , 157 pages, downloaded September 11, 2001	<input type="checkbox"/>
	C62	United States Patent and Trademark Office, "U.S. Patent Application Specification Authoring Guide For WordPerfect XML Template," pp. cover and 1-65, December 14, 1999	<input type="checkbox"/>
	C63	United States Patent and Trademark Office, WEST, Figures 1-2, 2 pages, no date	<input type="checkbox"/>
	C64	United States Patent and Trademark Office, WEST, Figures 1-2 and "West Past Deployment/Enhancement Announcements," 5 pages, no date	<input type="checkbox"/>
	C65	US Patent Application No. 09/733,616, Office Action dated March 29, 2004, 21 pages	<input type="checkbox"/>
	C66	US Patent Application No. 09/733,616, Final Office Action dated October 25, 2004, 19 pages	<input type="checkbox"/>
	C67	US Patent Application No. 09/733,616, Office Action dated May 23, 2005, 20 pages	<input type="checkbox"/>
	C68	US Patent Application No. 09/733,616, Final Office Action dated February 21, 2006, 37 pages	<input type="checkbox"/>
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	C70	US Patent Application No. 09/919,764, Office Action dated July 26, 2004, 21 pages	<input type="checkbox"/>
	C71	US Patent Application No. 09/919,764, Office Action dated May 20, 2005, 21 pages	<input type="checkbox"/>
	C72	US Patent Application No. 09/919,764, Office Action dated February 9, 2006, 22 pages	<input type="checkbox"/>

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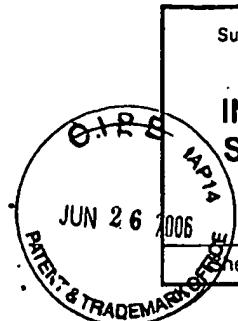
Substitute for form 1449B/PTO				Complete if Known	
				Application Number	09/997,269
				Filing Date	November 27, 2001
				First Named Inventor	Grainger, Jeffry J.
				Art Unit	2165
				Examiner Name	Abel Jalil, Neveen
Sheet	10	of	11	Attorney Docket Number	021737-000720US

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Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/PD/	C73	US Patent Application No. 09/919,784, Office Action dated September 30, 2005, 23 pages	<input type="checkbox"/>
	C74	US Patent Application No. 09/919,787, Office Action dated September 22, 2005, 20 pages	<input type="checkbox"/>
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	C77	US Patent Application No. 09/996,338, Final Office Action dated September 25, 2003, 16 pages	<input type="checkbox"/>
	C78	US Patent Application No. 09/996,338, Office Action dated January 21, 2004, 10 pages	<input type="checkbox"/>
	C79	US Patent Application No. 09/996,338, Final Office Action dated January 14, 2005, 20 pages	<input type="checkbox"/>
	C80	US Patent Application No. 09/996,341, Office Action dated January 18, 2006, 19 pages	<input type="checkbox"/>
	C81	US Patent Application No. 09/997,311, Office Action dated August 10, 2004, 21 pages	<input type="checkbox"/>
	C82	US Patent Application No. 09/997,311, Final Office Action dated March 1, 2005, 26 pages	<input type="checkbox"/>
	C83	US Provisional Patent Application No. 60/185,644, filed February 29, 2000, File History, 60 pages	<input type="checkbox"/>
	C84	US Patent Application No. 09/997,311, Office Action dated June 12, 2006, 33 pages	<input type="checkbox"/>

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				<i>Application Number</i>	09/997,269
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/PDI/	C85	USPTO "e-TEAS Tutorial", downloaded from www.uspto.gov/teas/eTEASTutorial.htm , June 8, 2002, 4 pages	<input type="checkbox"/>
	C86	USPTO "Frequently Asked Questions about TEAS", downloaded from www.uspto.gov/teas/TEASFAQ.htm , Jun 2, 200, 10 pages	<input type="checkbox"/>
	C87	USPTO "Trademark Electronic Application System (TEAS)", downloaded from www.uspto.gov/Template.cfm?Section=Home&Template=/contentManagement/ContentDisplay.cfm&ContentID=21416 , updated, but no later than September 2004, 21 pages	<input type="checkbox"/>
	C88	USPTO "USPTO to Mark 100,000th Electronic Trademark Application", press release, June 22, 2001, 1 page	<input type="checkbox"/>
	C89	www.adobe.com as archived by the WAYBACK MACHINE on January 25, 1999, http://web.archive.org/web/*//www.adobe.com , 6 pages	<input type="checkbox"/>
↓	C90	www.legalstar.com as archived by the WAYBACK MACHINE on April 21, 1999, http://web.archive.org/web/*//www.legalstar.com , 7 pages	<input type="checkbox"/>

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